

**OWG 17 Assessment of Institutional Effectiveness**  
**Approved Recommendations**

- 1. Recommends that DSC’s Office of Institutional Research and Office of Institutional Effectiveness be consolidated with ASU’s Office of Institutional Effectiveness, Research, & Strategic Planning to form a single administrative unit responsible for:**
  - A. coordinating the functions of the new ASU’s strategic planning and evaluations processes,**
  - B. institutional effectiveness policies and procedures,**
  - C. assessments for continuous improvements at the institution-wide and unit levels,**
  - D. SACSCOC and regional accreditations,**
  - E. support program directors with national accreditations, and**
  - F. institutional research and reporting**
  
- 2. Recommends that the name of the new administrative support unit, title of its unit head, and direct reporting relationship to the president or provost be determined by the president and/or provost.**
  
- 3. Recommends that the new assessment and planning administrative unit create, publish, and implement written policies and procedures for all of its functional responsibilities, especially those involving the coordination of the evaluation of the achievement of the new ASU’s mission, guiding principles, strategic goals, and assessments for continuous improvements at the institution-wide and unit levels.**
  
- 4. Recommends that (consistent with the procedures outlined in Section 10 of the ASU-DSC Consolidation Prospectus,) institution-wide evaluations of the early achievements of the mission and interim strategic goals of the new ASU be conducted midway in 2017, to demonstrate compliance with SACSCOC Core Requirement 2.5 on Institutional Effectiveness (IE) during the Substantive Change Committee visit in fall 2017.**
  
- 5. Recommends that the ASU president initiates in 2017 a 12-18-month process for developing ASU’s 2019-2024 Strategic Plan, and that the new mission statement and interim strategic goals identified in Section 10 of the ASU-DSC Consolidation Prospectus be used in the meantime to demonstrate institution-wide compliance with SACSCOC Core Requirement (CR) 2.5 on Institutional Effectiveness for ASU’s reaffirmation of SACSCOC accreditation in 2019-20.**
  
- 6. Recommends that the preparations for initiating new unit-level assessments for continuous improvements at ASU be completed midway in 2017, consistent with the procedures outlined in Section 10 of the ASU-DSC Consolidation Prospectus, and to enable completion of two annual cycles of assessment for improvement reporting in 2017-18 and 2018-19 to demonstrate the existence of “mature data” in reaffirmation compliance with SACSCOC Comprehensive Standard 3.3.1 on Institutional Effectiveness for all units of the new ASU, including:**

- A. Educational Programs, to include learning outcomes**
- B. Administrative Support Services**
- C. Academic and Student Support Services**
- D. Research**
- E. Community/Public Service**

**7. Recommends that in accordance with BOR Policy 3.6.3: Comprehensive Program Review (CPR), that the new ASU's IE support unit create, implement, and coordinate a published CPR review process and staggered CPR calendar to ensure that all graduate and undergraduate educational programs, including general education, are reviewed in-depth at the institution level periodically and that all have been assessed within seven years, taking into account reviews for national program accreditations such that CPR redundancies with national accreditation reviews are minimized.**

**8. Recommends that the new ASU's IE support unit clarifies and reconciles the differences between expectations for the assessment of general education in the USG related to Core Area learning outcomes approved by the USG Council on General Education and BOR Policy 3.6.3 on CPR and expectations for the assessment of general education competencies as referenced in SACSCOC CS 3.5.1. Substantive differences between USG and SACSCOC assessment expectations in general education should be coordinated and managed separately as needed to be in compliance with both sets of expectations. Reconciliation should be completed midway in 2017 so that appropriate evidence of assessing the extent to which ASU's identified general education competencies are achieved can be gathered in 2017-18 and 2018-19 in time for submission to SACSCOC in September 2019 for Reaffirmation Committee examination of ASU's compliance with CS 3.5.1.**

**9. Recommends using CampusLabs' Compliance Assist assessment system to support the collection of assessment data, storage of assessment-related reports, and provide reporting tools.**