

| Date of | Hire: |
|---------|-------|
| Date 19 | Due: |

Orientation Checklist – Full-time Regular/with Benefits

| STAFF POSITION | FACULTY POSITION |
|----------------|------------------|
|----------------|------------------|

| NOTE: Em | ployee must sign and return all documents below to HR. |
|----------|--|
| | |
| | Employment Documentation |
| | Personal & Emergency Contact Forms(s) |
| | Federal (W-4) Withholding Form |
| | State (G-4) Withholding Form |
| | I-9 Employment Eligibility Form (Including I-9 documents) – Submit I9 form and documents within first 3 days of Employment |
| | BOR Security Questionnaire (Must be notarized by a Notary) |
| | Outstanding Wages Beneficiary Form (Must be notarized by a Notary) |
| | Direct Deposit Form (Voided check/ACH deposit form required). (Do not Submit Counter Checks or Bank Deposit Slips) |
| | Retirement Benefit Documentation |
| | Board of Regents TRS/ORP Retirement Election Form |
| | Retirement@Work Acknowledgment form – Note: This process must be completed in OneUSG the first week of hire. |
| | Policy Acknowledgements |
| | Equal Opportunity Employer |
| | Faculty and Staff Handbook Acknowledgement Statement |
| | Classified Employment (Note: Do not sign if you are Faculty and are teaching classes) |
| | Provisional Appointment (Note: Do not sign if you are Staff) |
| | Confidentiality & Security Access Acknowledgment Statement |
| | Conflicts of Interest/Fiscal Misconduct |
| | Fraud, Waste and Abuse Acknowledgment Statement |
| | Drug-Free Campus Acknowledgment Statement |
| | Sexual Harassment Acknowledgement Statement |
| | Right to Know Training – Complete On-line (Print out Certificate) |
| | USG Ethics Acknowledgement Statement |
| | Jeanne Clery Act Acknowledgement Statement |
| | Exit/Clearance Process Acknowledgement Statement |
| | Health Benefits Enrollment |
| | Benefits Enrollment Acknowledgement form – Note: This process must be completed in OneUSG the first week of hire. |
| | Outside Employment Form (NOTE: Complete if you are employed outside of ASU) |
| | |
| HR's Na | ame: Date: Date: |
| | |
| Full Na | me: Date: |

Revised: March 3, 2020



| | *PERSONAL DATA 1 | | | | | | | | | |
|-----------------------------|------------------|--------------|--------------|--------------|-------------------|-------------|-------------|-------------------------|---------|----------------------|
| Name (please Last Name: | print) | First Name: | | Middle Name: | | | | Hire Date: | | |
| Prefix: | | | | | | | | Social Security Number: | | |
| Dr | - | Miss | Miste | er | Mrs | • | Ms. | | | |
| Current Address | | | | | | | | | | |
| | | T | | 1 | | | | | | DI " |
| City | | County | | Stat | e | | | Zip Code | | Phone # |
| | | | | *PEF | RSON | AL DA | TA 2 | | | |
| Gender: | Marital S | Status: | | Highest | Education | on? | | | | Full-time Student? |
| Male | Ma | arried | | Н | igh Scho | ol | Associates | | | YES |
| Female | Wie | dow | Single | N | /lasters | | Doctorate | Bachelors | | NO |
| Date of Birth: | | Birth Cou | ntry: | | Date of Marriage: | | | Email Address: | | |
| How did you fi | nd out abo | ut this job? | | | | | | | | |
| • | Clearingho | - | Internet | | Adverti | sement | Job | Posting | Oth | ner (Specify) |
| Citizenship Sta | tus: | | | | | | | | | |
| | | | | | | | | Alian Parm | /Porma | nent Alien Resident) |
| Native U.S Ethnic Group: | 5. | Naturalized | U.S. | Alien I | emp (Alie | n authorize | d to work) | Alleli Ferri | (Ferria | ment Allen Nesident) |
| White | | America | an Indian | Α | sian | | Africar | American | His | spanic |
| | al (If vou | | | | | ch races by | | e appropriate b | | • |
| | | "Other", ple | | | , | | g | | | , |
| Military Service | e: | | | | | | | | | |
| None Acti | ve | Active | | Reserves | 5 | Veter | an | Retired | | Vietnam Veteran |
| Are you disable | ed? | Yes | | No | | Are you a | disabled Ve | eteran? | Yes | No |
| Do you have p | revious em | ployment wi | th the Unive | ersity Sy | stem of (| Georgia? | Yes | 5 | No | |
| At which Instit | ution: | | | | | | | Date Last Wo | rked: | |



| EMERGENCY CONTACT INFORMATION | | | | | | | |
|--------------------------------|----------------------------------|--------------------------------|---------------------------------------|------|--|--|--|
| Employee's Name (please pr | int) | | | | | | |
| Last Name: | First Name: | Middle Name: | | | | | |
| | | | | | | | |
| Primary Contact Name: | | Relationship to Employee: | | | | | |
| | | | | | | | |
| Check here if contact sp | ecified has same address and pl | hone number as employee. | | | | | |
| If Primary Contact has a diffe | rent address, please specify bel | ow. | | | | | |
| Street | | | | | | | |
| | | | | | | | |
| City | County | State | Zip Code | | | | |
| | | | | | | | |
| Home Phone Number: | | Other Phone Number (speci | fy type) | | | | |
| | | Business | Pager | Cell | | | |
| | SECONDARY CO | NTACT INFORMA | ATION | | | | |
| Secondary Contact Name: | | Relationship to Employee: | | | | | |
| | | | | | | | |
| Check here if contact s | pecified has same address and p | l phone number as employee. | | | | | |
| If Secondary Contact has a di | fferent address and/or phone n | umber, please specify below. | | | | | |
| Street | | | | | | | |
| | | | | | | | |
| City | County | State | Zip Code | | | | |
| , | , | | , , , , , , , , , , , , , , , , , , , | | | | |
| Home Phone Number: | | Other Phone Number (speci | <u> </u> fy type) | | | | |
| | | Business | Pager | Cell | | | |
| | | | . 480. | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| Full Name: Signature: Date: | |
|-----------------------------|--|
|-----------------------------|--|

Form **W-4**

Employee's Withholding Certificate

Complete Form W-4 so that your employer can withhold the correct federal income tax from your pay.

▶ Give Form W-4 to your employer.▶ Your withholding is subject to review by the IRS.

2020

OMB No. 1545-0074

Department of the Treasury Internal Revenue Service

| Step 1: | (a) First name and middle initial | Last name | | (b) So | cial security number | | | |
|--------------------------------|--|---|---|------------|------------------------------|--|--|--|
| Enter Personal Information | Address | name o | ▶ Does your name match the name on your social security card? If not, to ensure you get | | | | | |
| | City or town, state, and ZIP code | SSA at | credit for your earnings, contact SSA at 800-772-1213 or go to www.ssa.gov. | | | | | |
| | (c) Single or Married filing separately | | | | | | | |
| | Married filing jointly (or Qualifying widow(er) | , | | | | | | |
| | Head of household (Check only if you're unm | arried and pay more than half the costs | of keeping up a home for y | ourself an | d a qualifying individual.) | | | |
| | ps 2–4 ONLY if they apply to you; otherw on from withholding, when to use the online | | e 2 for more informati | on on e | ach step, who can | | | |
| Step 2: Multiple Jobs | Complete this step if you (1) hold n also works. The correct amount of w | | | | | | | |
| or Spouse | Do only one of the following. | | | | | | | |
| Works | (a) Use the estimator at www.irs.go | v/W4App for most accurate w | thholding for this ste | p (and S | Steps 3–4); or | | | |
| | (b) Use the Multiple Jobs Worksheet o | n page 3 and enter the result in S | Step 4(c) below for roud | hlv accu | urate withholding: or | | | |
| | (c) If there are only two jobs total, you is accurate for jobs with similar p | ou may check this box. Do the | same on Form W-4 fo | r the oth | ner job. This option | | | |
| | TIP: To be accurate, submit a 2020 Form W-4 for all other jobs. If you (or your spouse) have self-employment income, including as an independent contractor, use the estimator. | | | | | | | |
| | ps 3-4(b) on Form W-4 for only ONE of tate if you complete Steps 3-4(b) on the For | | | obs. (Yo | our withholding will | | | |
| Step 3: | If your income will be \$200,000 or le | ess (\$400,000 or less if married | l filing jointly): | | | | | |
| Claim Dependents | Multiply the number of qualifying | children under age 17 by \$2,000 | \$ | _ | | | | |
| | Multiply the number of other dep | pendents by \$500 | > <u>\$</u> | - | | | | |
| | Add the amounts above and enter the | ne total here | | 3 | \$ | | | |
| Step 4 (optional): Other | (a) Other income (not from jobs). I this year that won't have withhold include interest, dividends, and re | ling, enter the amount of other | | | \$ | | | |
| Adjustments | (b) Deductions. If you expect to clean and want to reduce your withhole enter the result here | | \$ | | | | | |
| | (c) Extra withholding. Enter any ad | ditional tax you want withheld | each pay period . | 4(c) | \$ | | | |
| | | | | | | | | |
| Step 5: | Under penalties of perjury, I declare that this ce | rtificate, to the best of my knowle | dge and belief, is true, o | orrect, a | nd complete. | | | |
| Sign | | | | | | | | |
| Here | \ | | L | | | | | |
| | Employee's signature (This form is not | valid unless you sign it.) | | ate | | | | |
| Employers | Employer's name and address | | First date of | Fmploy | er identification | | | |
| Only | and a wash or (CIA) | | | | | | | |

Abany, Georgia 31707

STATE OF GEORGIA

EMPLOYEE'S WITHHOLDING ALLOWANCE CERTIFICATE

| 1. YOUR FULL NAME | 2. YOUR SOCIAL SECURITY NUMBER |
|---|--|
| HOME ADDRESS (Number, Street, or Rural Route) | CITY, STATE AND ZIP CODE |
| 3. MARITAL STATUS | RSE SIDE BEFORE COMPLETING LINES 3 - 8 |
| (If you do not wish to claim an allowance, enter "0" in the br | |
| A. Single: enter 0 or 1 [] | 4. DEPENDENT ALLOWANCES[] |
| B. Married Filing Joint, both | |
| spouses working: enter 0 or 1 or 2 [] | 5 ADDITIONAL ALLOWANGED |
| C. Married Filing Joint, one spouse working: enter 0 or 1 or 2 [] | ADDITIONAL ALLOWANCES |
| D. Married Filing Separate: enter 0 or 1 or 2[] | |
| E. Head of Household: enter 0 or 1 or 2 | 6. ADDITIONAL WITHHOLDING\$ |
| 7. LETTER USED (Marital Status A, B, C, D, or E) | TOTAL ALLOWANCES (Total of Lines 3 - 5) |
| (Employer: The letter indicates the tax tables on pages 16 t | |
| 8. EXEMPT: I claim exemption from withholding because I do not expect to have a Georgia income tax liability thi | |
| Leartify under panelty of parium that Lam antitled to the number of | of withholding allowances or the exemption from withholding status |
| claimed on this Form G-4. Also, I authorize my employer to dec | |
| Employee's Signature | |
| Employer: Complete Line 9 if the employee claims over 14 allo | |
| Georgia Department of Revenue, Withholding Tax Unit, P. O. Bo | |
| 9. EMPLOYER'S NAME AND ADDRESS: | EMPLOYER'S FEIN: |
| Albany State University | EMPLOYER'S WH#: |
| 2400 Gillionville Road | |
| Albany, Georgia 31707 | |
| WORKSHEET FOR CALCULATI | NG ADDITIONAL ALLOWANCES |
| 1. COMPLETE THIS LINE ONLY IF USING STANDARD DE | DUCTION: |
| Yourself: Age 65 or over Blind | |
| Spouse: Age 65 or over 🔲 Blind 🗌 Num | ber of boxes checked x 1300\$ |
| 2. ADDITIONALALLOWANCES FOR DEDUCTIONS: | |
| A. Federal Estimated Itemized Deductions | \$ |
| B. Georgia Standard Deduction (enter one): Single/He Each Spo | ad of Household \$2,300 use \$1,500 \$ |
| C. Subtract Line B from Line A | \$ |
| D. Allowable Deductions to Federal Adjusted Gross Inco | me\$ |
| E. Add the Amounts on Lines 1, 2C, and 2D | \$ |
| F. Estimate of Taxable Income not Subject to Withholdin | ng\$ |
| G. Subtract Line F from Line E (if zero or less, stop here | s)\$s |
| H. Divide the Amount on Line G by \$3,000. Enter total he (This is the number of additional allowances. If the re | ere and on Line 5 above mainder is over \$1,500 round up). |



Employment Eligibility Verification

Department of Homeland Security

U.S. Citizenship and Immigration Services

USCIS Form I-9

OMB No. 1615-0047 Expires 10/31/2022

▶ START HERE: Read instructions carefully before completing this form. The instructions must be available, either in paper or electronically, during completion of this form. Employers are liable for errors in the completion of this form.

ANTI-DISCRIMINATION NOTICE: It is illegal to discriminate against work-authorized individuals. Employers **CANNOT** specify which document(s) an employee may present to establish employment authorization and identity. The refusal to hire or continue to employ an individual because the documentation presented has a future expiration date may also constitute illegal discrimination.

| Section 1. Employee Information than the first day of employment, but not | | | ust complete an | d sign Se | ection 1 o | f Form I-9 no later | |
|---|---|--------------------------------------|-----------------|--------------------|------------------------------|------------------------|--|
| Last Name (Family Name) | First Name (Given Name) Middle Initial Oth | | | Other L | her Last Names Used (if any) | | |
| Address (Street Number and Name) | Apt. Number | City or Town | | | State | ZIP Code | |
| Date of Birth (mm/dd/yyyy) U.S. Social Sec | te of Birth (mm/dd/yyyy) U.S. Social Security Number Employee's E-mail Address | | | | | | |
| I am aware that federal law provides for connection with the completion of this f | form. | | | or use of | f false do | ocuments in | |
| I attest, under penalty of perjury, that I a | am (check one of the | e following box | (es): | | | | |
| 1. A citizen of the United States | | | | | | | |
| 2. A noncitizen national of the United States | (See instructions) | | | | | | |
| 3. A lawful permanent resident (Alien Reg | gistration Number/USCI | S Number): | | | | | |
| 4. An alien authorized to work until (expira | • | | | _ | | | |
| Some aliens may write "N/A" in the expira | • | , | | | Q | R Code - Section 1 | |
| Aliens authorized to work must provide only on An Alien Registration Number/USCIS Number | • | | , | | | ot Write In This Space | |
| Alien Registration Number/USCIS Number: OR | | | | | | | |
| 2. Form I-94 Admission Number: OR | | | | | | | |
| 3. Foreign Passport Number: | | | | | | | |
| Country of Issuance: | | | | | | | |
| Signature of Employee | | | Today's Date | e (<i>mm/dd</i> / | /уууу) | | |
| Preparer and/or Translator Certif I did not use a preparer or translator. (Fields below must be completed and signed attest, under penalty of perjury, that I have been supported to the complete of perjury. | A preparer(s) and/or tra ed when preparers ar | anslator(s) assistend/or translators | assist an emplo | oyee in c | ompleting | g Section 1.) | |
| knowledge the information is true and c | orrect. | | | | and that | to the boot of my | |
| Signature of Preparer or Translator | | | | Today's [| Date (mm/d | dd/yyyy) | |
| Last Name (Family Name) | | First Nan | ne (Given Name) | | | | |
| Address (Street Number and Name) | | City or Town | | | State | ZIP Code | |

STOP

Employer Completes Next Page

STOP

Form I-9 10/21/2019 Page 1 of 3



Employment Eligibility Verification Department of Homeland Security

U.S. Citizenship and Immigration Services

USCIS Form I-9

OMB No. 1615-0047 Expires 10/31/2022

Section 2. Employer or Authorized Representative Review and Verification

(Employers or their authorized representative must complete and sign Section 2 within 3 business days of the employee's first day of employment. You must physically examine one document from List A OR a combination of one document from List B and one document from List C as listed on the "Lists of Acceptable Documents.")

| of Acceptable Documents.") | | | | | | | | | | | |
|--|-------------------------|---------|-----------------------|--------------------|-----------------|---|-----------|-------------------|----------------|-----------|---|
| Employee Info from Section 1 | Last Nam | ie (Fai | mily Name) | | First N | lame (Give | n Nam | e) N | M.I. | Citizen | ship/Immigration Status |
| List A Identity and Employment Auth | norization | OR | R | | ist B entity | | 1A | ND | | Emplo | List C byment Authorization |
| Document Title | | | Document Ti | tle | | | | Docume | nt Title | ! | |
| Issuing Authority | | | Issuing Autho | ority | | | | Issuing A | Authori | ty | |
| Document Number | | | Document No | umber | | | | Docume | nt Nun | nber | |
| Expiration Date (if any) (mm/dd/yy) | /y) | | Expiration Da | ate <i>(if any</i> | y) (mm/dd | /уууу) | | Expiration | n Date | e (if any | /) (mm/dd/yyyy) |
| Document Title | | | | | | | | | | | |
| Issuing Authority | | | Additional | Informa | tion | | | | | | code - Sections 2 & 3 of Write In This Space |
| Document Number | | | | | | | | | | | |
| Expiration Date (if any) (mm/dd/yy) | /y) | | | | | | | | | | |
| Document Title | | | | | | | | | | | |
| Issuing Authority | | | | | | | | | | | |
| Document Number | | | | | | | | | | | |
| Expiration Date (if any) (mm/dd/yy) | <i>(y)</i> | | | | | | | | | | |
| Certification: I attest, under per (2) the above-listed document(semployee is authorized to work The employee's first day of e | s) appear c in the U | to be | genuine an States. | d to rela | | employee | name | ed, and (3 |) to th | e bes | t of my knowledge the |
| The employee's mist day of e | inployin | ent (n | iiiii/dd/yyyy, | /· | | (| See III | struction | 15 101 | exem | ipuoris) |
| Signature of Employer or Authorize | ed Represe | entativ | e . | Today's [| Date (mm. | /dd/yyyy) | Title | of Employe | er or A | uthoriz | ed Representative |
| Last Name of Employer or Authorized I | Representa | tive | First Name of B | Employer | or Authoriz | r Authorized Representative Employer's Business or Organiza | | | - | | |
| Frankrich Brain and Organizati | A -l -l | - (0) | - 4 | -1.01 | City - | - T | | Albany | y State Sta | Unive | ZIP Code |
| Employer's Business or Organization 2400 Gillionville Road | on Addres | s (Stre | et Number an | a Name) | Alb | r Town any | | | | GA | 31707 |
| Section 3. Reverification | and Rol | nirae | (To be come | nleted a | nd siane | d hv emnli | over o | r authoriz | ed rer | resen | tative) |
| A. New Name (if applicable) | and Itel | 03 | (TO DO COM | noteu ai | ia signe | a by ciripit | | B. Date of | | | , |
| Last Name (Family Name) | I | First N | ame <i>(Given N</i> | ame) | | Middle Ini | _ | Date (mm | | . ,, | ondabley |
| C. If the employee's previous grant continuing employment authorization | | | | | ed, provid | e the inform | nation fo | or the docu | ument | or rece | ipt that establishes |
| Document Title | | | | 1 | ment Num | nber | | | Expira | ation Da | ate (if any) (mm/dd/yyyy) |
| I attest, under penalty of perjur the employee presented docum | | | | | | | | | | | |
| Signature of Employer or Authorize | ed Represe | entativ | e Today's | Date (mn | n/dd/yyyy, | Name | e of Em | iployer or A | Authori | zed Re | epresentative |
| · | | | | | | | | | | | |

LISTS OF ACCEPTABLE DOCUMENTS All documents must be UNEXPIRED

Employees may present one selection from List A or a combination of one selection from List B and one selection from List C.

| | LIST A Documents that Establish Both Identity and Employment Authorization | OR | LIST B Documents that Establish Identity AN | ID | LIST C Documents that Establish Employment Authorization | |
|----|--|----|---|--|--|---|
| 2. | U.S. Passport or U.S. Passport Card Permanent Resident Card or Alien Registration Receipt Card (Form I-551) Foreign passport that contains a temporary I-551 stamp or temporary | | 1. Driver's license or ID card issued by a State or outlying possession of the United States provided it contains a photograph or information such as name, date of birth, gender, height, eye color, and address | 1. | A Social Security Account Number card, unless the card includes one of the following restrictions: (1) NOT VALID FOR EMPLOYMENT (2) VALID FOR WORK ONLY WITH INS AUTHORIZATION | |
| 4. | I-551 printed notation on a machine- readable immigrant visa Employment Authorization Document that contains a photograph (Form I-766) | | 2 | 2. ID card issued by federal, state or local government agencies or entities, provided it contains a photograph or information such as name, date of birth, gender, height, eye color, and address | 2. | (3) VALID FOR WORK ONLY WITH DHS AUTHORIZATION Certification of report of birth issued by the Department of State (Forms DS-1350, FS-545, FS-240) |
| 5. | For a nonimmigrant alien authorized to work for a specific employer because of his or her status: a. Foreign passport; and b. Form I-94 or Form I-94A that has | | School ID card with a photograph Voter's registration card U.S. Military card or draft record Military dependent's ID card | 3. | Original or certified copy of birth certificate issued by a State, county, municipal authority, or territory of the United States bearing an official seal | |
| | the following: (1) The same name as the passport; and | | U.S. Coast Guard Merchant Mariner Card Native American tribal document | 5. | Native American tribal document U.S. Citizen ID Card (Form I-197) Identification Card for Use of | |
| | (2) An endorsement of the alien's nonimmigrant status as long as that period of endorsement has not yet expired and the proposed employment is not in conflict with any restrictions or | | 9. Driver's license issued by a Canadian government authority For persons under age 18 who are unable to present a document | | Resident Citizen in the United States (Form I-179) Employment authorization document issued by the | |
| 6. | Passport from the Federated States of Micronesia (FSM) or the Republic of the Marshall Islands (RMI) with Form I-94 or Form I-94A indicating nonimmigrant admission under the Compact of Free Association Between the United States and the FSM or RMI | - | listed above: 10. School record or report card 11. Clinic, doctor, or hospital record 12. Day-care or nursery school record | | Department of Homeland Security | |

Examples of many of these documents appear in the Handbook for Employers (M-274).

Refer to the instructions for more information about acceptable receipts.

Form I-9 10/21/2019 Page 3 of 3

Board of Regents University System of Georgia

University System Office SECURITY QUESTIONNAIRE

NOTICE TO EMPLOYEES: The Sedition and Subversive Activities Act of 1953 (Ga. Laws, 1953), as amended, requires each employee to complete and sign, prior to his/her employment by the State of Georgia, a questionnaire which is designed to establish that there are no reasonable grounds to believe that he/she is a subversive person. A subversive person is defined as one who commits acts, advocates, or teaches the overthrow of the government of the United States or government of the State of Georgia by force or violence or who is a knowing member of a subversive organization.

INSTRUCTIONS: Prepare in original only. Fill in all items. If more space is needed for any item, or explanation, continue under Item 5. Please type or print in ink. Social Security No. 1. Name Other Names Used: (Maiden name, names by former marriages, former names changed legally or otherwise: Aliases, nicknames, etc. Specify which, and show dates used.) 2. Address 3. Are you now or have you been within the last ten (10) years a member of any organization which to your knowledge at the time of membership advocates or has as one of its objectives, the overthrow of the government of the United States or the government of the State of Georgia by force or violence? Yes No If "Yes," state the name of the organization and your past and present membership status including any offices held therein. NOTE: If the answer to Question 3 is "yes" and the employing authority deems further inquiry is necessary, you will be notified of such determination. No action adverse to your application will be taken because of an affirmative answer until after such an inquiry, with notice to you and an opportunity for you to present evidence, and only if the results of such inquiry bring your application within the prohibition within the Sedition and Subversive Activities Act of 1953, as amended. Have you ever been convicted or are any charges now pending against you by Federal, State, or other law-enforcement authorities, for any violation of any federal law, state law, county or municipal law, regulation, or ordinance? (Do not include anything that happened before your sixteenth birthday. Do not include minor traffic violations for which a fine of \$35.00 or less was imposed. All other convictions must be included even if they were pardoned.) Yes No (B) If the answer to 4 (A) is "yes," state the reason convicted, the date convicted, and the place where convicted. REASON CONVICTED PLACE WHERE CONVICTED 5. SPACE FOR CONTINUING ANSWERS OR EXPLANATIONS: (Show item numbers to which answers or explanations apply. Attach a separate sheet if more space is needed.)

USO/AH/11.14.07 Page 1

NOTE: Before signing this form, check all answers and explanations to see that you have answered all questions fully and correctly. This form is to be executed under oath subject to the penalties of false swearing as prescribed in Code Section 16-11-14 of the Criminal Code of Georgia.

| | | AFFIDAVIT O | F VERIFICATION | |
|--|---|--|---|---|
| State of | | County | | |
| who, after being sw foregoing instrumer | orn, deposes and s nt; that he or she has ne answers and info | ays and declares under penalt as read and completed the san | uthorized to administer oaths, (Print your ies of false swearing that he or she is the ne and knows and understands the conter her in the foregoing questionnaire, includes | person who executed the nts thereof; that the matters |
| SWORN TO AND | SUBSCRIBED BI | EFORE ME | | |
| This | day of | Month , | (Signature of Employee) | |
| | | Month Y | ear ear | |
| | Notary Public | | | |
| County of | | My commission expir | esday of | |
| | | | | month year |
| (Affix seal) | | | | |
| | ΓΟ UNIT: If this q | uestionnaire is executed by ap | ENISHED BY EMPLOYING UNIT uplicant, insert "APPL" in the space for deal who has been offered employment or well. | |
| provide the informa | | to is executed by an individue | i who has been offered employment of v | viio is unearly employees, |
| DATE OF APPOINTMEN | | FITLE OF POSITION | UNIT AND DEPARTMENT | DUTY STATION |
| | | | | University System Office |
| | | University Sy | of Regents ystem of Georgia LTY OATH | |
| STATE OF | | | COUNTY OF | |
| I, (Print your Name)_ | | | , a citizen of | |
| | | | e recipient of public funds for services re on of the United States and the Constituti | |
| This | day of | , Month Year | Sions | ature of Employee |
| Sworn to and subsc | ribed before me th | is day and year above set out. | <u> </u> | active of Employee |
| | | | | |
| | Notary Public | | | |
| (Affix Seal) | | | | |

PLEASE NOTE THAT EACH OF THE ABOVE DOCUMENTS, THE SECURITY QUESTIONNAIRE AND THE LOYALTY OATH, MUST BE SIGNED AND NOTARIZED.

USO/AH/11.14.07 Page 2



Outstanding Wages Beneficiary Designation

Albany State University offers its employees the option of designating a beneficiary(ies) to receive the employee's last check in the event of an employee's death while an employee of the University System Office. If you elect to name a beneficiary, you must complete the section below, Outstanding Wages Beneficiary Designation Form, at the time of your employment and submit to Human Resources along with all of your new hire paperwork. Should you desire to change your beneficiary at some point in the future, it will be your responsibility to complete and submit to Human Resources another Outstanding Wages Beneficiary Designation Form. For example, if you name your spouse and are later divorced, you would then be required to complete a new form.

If an employee does not elect to name a beneficiary, Albany State University's payroll office will issue the employee's final paycheck, including any pay for unused annual/vacation leave, to the estate of the deceased employee. If your final check goes to your estate, please be advised that access to the funds by your family may be delayed due to the probate process.

| | Albany State Univers | ity - Outstanding V | Vages Benefici | ary Designation For | m |
|-------------|----------------------------|--------------------------|---------------------|---------------------------|----------------|
| Employe | e's Name: | | | | |
| Name of | Primary Beneficiary for Ou | itstanding Wages: | | | |
| Address: | | | | | |
| | Secondary Beneficiary for | | | | |
| Address: | | | | | |
| State of | , County of | | , on this | day of | |
| 2 | , personally appeared be | efore me, the above name | ed and made oath th | nat the statements made a | bove are true. |
| My Commi | ission Expires | | | | |
| Notary Pu | blic | | | | _ |
| (Official S | Seal) | | | | |
| ull Nam | e: | _ Signature: | | Date: | |

F



Direct Deposit

NOTE: Your first check will be mailed to the address on your Personal Data Form

ACH stands for automatic clearing house. It means direct deposit of a check or payment into a bank account. You will receive a paper check. The money is electronically put into an account.

One account must be designated as the "balance" account to deposit 100% of their paycheck into.

A blank check marked "VOID" should be submitted with this direct deposit form. This account will be **prenoted** the first pay cycle after this authorization form has been received.

You must attach (1) of the following items:

| A voided check (Bank Deposit forms | or courtesy checks | without | pre-printed | name and |
|-------------------------------------|-------------------------|---------|-------------|----------|
| mailing address are not acceptable) | A B A Routing Numbers I | Evamnlo | | |

| John Q. Public | | | 10 |
|---------------------------------------|--------------|--------------|----|
| 123 Main Street Your Town, USA 123 | 45-6789 | | • |
| 1001 10411, 004 120 | 10-0703 | Date | |
| | - 4 | \mathbf{n} | |
| Pay to the order of: | | | |
| | | DOLLARS | |
| | 100 | | |
| | | | |
| Memo | | | |
| | \$2345578P D | 101 | |
| 1,000081814 | 21343818 | | |

| ACH Check Deposit for | m from your bank with routin | g and account numbers displayed. |
|-------------------------------|------------------------------|---------------------------------------|
| NOTE: If you are establishing | more than one bank account | , please complete the sections below. |

| Print Name: | | | |
|--------------------|--------------|-------------------|------------------|
| | | | |
| | Direct Depos | sit Account I | |
| Effective Date: | | | |
| Priority: | | Excess? | Partial Allowed? |
| Bank Name: | | | |
| Transit Number: | | Account Number | |
| Percent of Net Pay | | Dollar Amount: \$ | |
| Account Type: | Checking () | | Savings () |
| | Direct Depos | sit Account II | |
| Effective Date: | | | |
| Priority: | | Excess? | Partial Allowed? |
| Bank Name: | | | |
| Transit Number: | | Account Number | |
| Percent of Net Pay | | Dollar Amount: \$ | |
| Account Type: | Checking () | | Savings () |
| | | | |



TRS/ORP RETIREMENT ELECTION FORM

Teachers Retirement System (TRS) Defined Benefit Plan

In this type of plan, your retirement benefit is "defined" based on a pre-designated formula: 2% x Years of Membership Service x Average of 24 Highest Consecutive Months Salary

Optional Retirement Plan (ORP) Defined Contribution Plan

Signature

This type of plan is similar to a 401(k) in which you have your own account and make your own investment decisions.

For more information regarding the plans above, visit the University System of Georgia's website at: http://retirement.usg.edu

You have 60 days from your date of hire to make a decision regarding your retirement plan. Otherwise, you will be automatically enrolled in the TRS plan. This decision is irrevocable. It is your responsibility to ensure that Human Resources has received your completed paperwork.

| I elect to participate in: (Choose | e One) | | |
|------------------------------------|------------------------------|--|---|
| □ Teachers Retirement System | ı (TRS) Defined Benefit Plar | 1 | |
| □ Optional Retirement Plan (O | RP) Defined Contribution F | Plan | |
| Company | Allocation (Of Total Co | ontributions) | |
| Fidelity | % | | |
| TIAA | % | | |
| Valic | % | | |
| • | vendor allocations can be | and making investment elections made via the Retirement@Work t rectly to set up an account(s). | • |
| • • | • | ne above statements regarding the erstand that under current law, m | |
| Print Name | | Date | _ |
| | | | |



Retirement@Work

USG Mandatory Retirement Plan

I acknowledge and understand that I am responsible for logging into the OneUSG Connect Self-Service System and enrolling into my retirement plan with one of the two mandatory retirement plans. The two retirement plans are Teachers Retirement System of Georgia and the Optional Retirement Plan.

I understand that I will receive an e-mail giving me instructions on how to enroll into my retirement plan.

I understand that my enrollment must take place within the first week of hire and I will notify Human Resources upon completion of my enrollment.

| -ull Name: | Signature: | Date: |
|------------|------------|-------|



Equal Opportunity Employer

Albany State University provides equal employment opportunities (EEO) to all employees and applicants for employment without regard to race, color, religion, sex, national origin, age, disability or genetics. In addition to federal law requirements, Albany State University complies with applicable state and local laws governing nondiscrimination in employment in every location in which the University has facilities. This policy applies to all terms and conditions of employment, including recruiting, hiring, placement, promotion, termination, layoff, recall, transfer, leaves of absence, compensation, and training.

Albany State University expressly prohibits any form of workplace harassment based on race, color, religion, gender, sexual orientation, gender identity or expression, national origin, age, genetic information, disability, or veteran status. Improper interference with the ability of Albany State University's employees to perform their job duties may result in discipline up to and including discharge.

The University shall take action, to the extent allowed under state and federal law, to ensure fulfillment of this policy. For questions or more detailed information regarding this policy, or to file a complaint regarding violation of this policy, please contact the Albany State University Office of Human Resources, 504 College Drive, Billy C. Black Building, Room 382, Albany, Georgia 31705, Director of Human Resources, (229) 430-4623. Students requiring disability-related accommodations for participation in any event or to obtain print materials in an alternative format, please contact the Student Disability Services Center, New Student Center, 2nd Floor, Green Zone, Room 2-140, Dr. Stephanie Harris-Jolly, Director of Counseling and Student Disability Services, (229) 903-3610.

| Full Name: | Signature: | Date: |
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Faculty/Staff Handbook for Employees of Albany State University Employee Acknowledgment Form

(Note: Please sign on the line below and return this form to the Office of Human Resources Management).

Location of the Handbook – I acknowledge that I have been informed that the Faculty/Staff Handbook for employees of Albany State University is available on the university's website at:

https://www.asurams.edu/administration/human-resources-home/employee-handbook/

Notification of Future Changes – It is my responsibility to read and comply with the policies and procedures contained in the handbook. I understand that the policies and procedures contained in it may change without prior notice and that notification of changes or additions to these policies and procedures will be made to the employees. I understand that efforts will be made to communicate significant changes in a timely manner and that such revisions may supersede, modify, or eliminate existing policies, procedures and benefits. The handbook may be updated from time to time, and I will be notified via e-mail, without the need to sign this form again. No Contract Implied & Rights of Employment Termination –

I acknowledge that the Faculty/Staff Handbook for employees of Albany State University is not a contract of employment. I understand that neither the handbook, nor any other communications by a university representative, either written or oral, made prior to employment or during the course of employment, is intended in any way to create an employment contract. I further understand that either Albany State University or the employee can terminate their employment relationship at any time, so long as no violations of applicable federal or state laws exist. Authority of the Handbook – I understand that the handbook is not a legal document, for it is an official publication of the Board of Regents of the University System of Georgia. In case of divergence from or conflict with the By-laws and Policies of the Board of Regents, the official By-laws and Policies will prevail. I understand that I should consult my supervisor or the Office of Human Resources Management regarding any questions not answered in the handbook. Pledge to Read and Understand It – I hereby acknowledge that I will read the Faculty/Staff Handbook for employees of Albany State University and become familiar with its contents.

| Full Name: | Signature: | Date: |
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803.04 NOTICE OF EMPLOYMENT AND RESIGNATION

TO BE SIGNED BY FACULTY ONLY

The following notification requirements apply to those members of the faculty who have been awarded the ranks of instructor, assistant professor, associate professor, or professor:

Tenured Faculty: All tenured faculty members employed under written contract for the fiscal or academic year shall give at least sixty days written notice of their intention to resign to the president of the institution or to his/her authorized representative.

Non-tenured Faculty with Academic Ranks of Instructor, Assistant Professor, Associate Professor, Professor: All non-tenured faculty who have been awarded academic rank (instructor, assistant professor, associate professor, professor), are employed under written contract, and who served full-time for the entire previous year have the presumption of renewal of the next academic year unless notified in writing, by the president of an institution or his/her authorized representative, of the intent not to renew. Written notice of intent not to renew shall be delivered by hand or by certified mail, to be delivered to the addressee only, with receipt to show to whom and when delivered and the address where delivered (BR Minutes, October 2008).

Notice of intention not to renew a non-tenured faculty member who has been awarded academic rank (instructor, assistant professor, associate professor, professor) shall be furnished, in writing, according to the following schedule:

- A. At least three months before the date of termination of an initial one-year contract;
- B. At least six months before the date of termination of a second one-year contract;
- C. At least nine months before the date of termination of a contract after two or more years of service in the institution.

This schedule of notification does not apply to persons holding temporary, limited-term, or part-time positions, or persons with courtesy appointments such as adjunct appointments.

I have read and acknowledge the above Notice of Employment and Resignation.

| Full Name: | Signature: | Date: | |
|------------|----------------|-----------|--|
| | | | |



Personnel Policies and Procedures Volume 3A Revised. November 12, 1985 Section II: Classified Employment

TO BE SIGNED BY STAFF ONLY

"All classified employees are required to serve the first six (6) months of employment in the University System on a provisional basis to provide the employer an opportunity to evaluate the employee's performance. If the work of the employee is unsatisfactory, the employee will be notified in writing prior to the completion of this

| six (6) months provisional period and the employee may be terminated at that time without right of appeal or any of the procedural protections provided for in Section II $-$ I (Dismissal, Demotion or Suspension) and I (Appeals) of these policies." | |
|---|---|
| I have read and understand the above. | |
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| Full Name: Signature: Date: | _ |
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CONFIDENTIALITY AND SECURITY ACCESS AGREEMENT

Albany State University has a legal and ethical responsibility to safeguard and to protect all confidential information. Confidential information includes employee information, student records, business information, financial information and other information relating to Albany State University. In the course of my employment and/or association with Albany State University, I understand that I will come into contact with confidential information. Confidential information may be spoken, written or electronic. The purpose of this agreement is to clarify my duties regarding confidential information. By signing this document I understand and agree to comply with Albany State University Policies & Procedures on Confidentiality and Security Access and the GA Computer Systems Protection Act, copies of which I have received for my records. In addition:

- 1. I agree not to disclose confidential information to others who do not have a need-to-know. Need-to-know is defined as that which is necessary for one to adequately perform one's specific job responsibilities as they relate to Albany State University.
- 2. I agree not to access or attempt to access any information, or utilize equipment, other than that which is required to do my job.
- 3. I agree not to discuss confidential information where others can overhear the conversation, e.g., in hallways, on elevators, in the cafeterias, at restaurants, at social events. I understand that it is not acceptable to discuss any confidential information inside or outside the organization, while on or off duty, even if specific names are not used, other than as permitted in this agreement.
- 4. I agree not to access any confidential information for any person who does not have a need-to-know.
- 5. I understand that my user name and password are the equivalent of my signature and that I am accountable for all entries and actions recorded during their use.
- 6. I agree that I will not disclose my user name and password to any person for any reason.
- 7. I agree not to access any confidential information using someone else's user name and password.
- 8. I agree not to send or take any confidential information outside Albany State University in any form (including PDAs) without authorization.
- 9. I agree not to make any additions, modifications or deletions to any confidential information without authorization.
- 10. I agree to respect the limitations and usage of the information system network and not to interfere unreasonably with the activity usage of other authorized persons.
- 11. I understand that my access to all computer systems may be monitored and audited without notice to me.
- 12. I agree to log out of any computer session opened under my user name and password prior to leaving any computer or terminal unattended.
- 13. I understand that if authorized to use Internet and/or email, I will use it only for authorized job responsibilities. Any misuse or abuse (e.g., pornographic material, chain letters, etc.) of these privileges could be grounds for disciplinary action.
- 14. I understand that I must participate in periodic training, as determined by Albany State University.
- 15. I agree to respect the ownership of proprietary software (e.g., I will not operate any unauthorized software on Albany State University computers or make unauthorized copies of any software for my own use).
- 16. I understand that confidential papers should be picked up as soon as possible from copiers, mail boxes, fax machines, printers and other publicly accessible locations. Confidential papers, reports, and computer printouts should be kept in a secure place. When they are no longer needed, confidential papers should be deposited in the document destruction bins to be destroyed.
- 17. I understand that my obligation under this agreement will continue after my termination of employment and/or association with Albany State University and that my privileges are subject to periodic review, revision, renewal and termination.
- 18. I agree to notify my supervisor or the Department of Human Resources immediately of any unauthorized access or use of confidential information or of violation by anyone of any of the rules above.

I understand that violation of this agreement may result in the following: Denial of access to University computer systems;
Disciplinary action as stated in University Policies and Procedures up to and including termination; Penalties under State and Federal laws and regulations; Denial of entry into University facilities; Notification to State and/or national professional licensing departments or organizations; any combination of the above.

| Full 1 | Name: | | Signature: | | Date: | |
|--------|-------|--|------------|--|-------|--|
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Conflict of Interest

In accordance with Georgia Law (Section 45-10-26 of the Official Code of Georgia Annotated) all University employees are required to disclose any business transactions made between the employee and the State of Georgia or any agency of the State of the State of Georgia occurring during a calendar year. Employees are also required to disclose any transactions made between the employee and the State of Georgia on behalf of any business, or any business that the employee has a substantial interest. Failure to disclose such business transactions will subject an employee to a civil fine not to exceed \$10,000 restitution to the State of Georgia and removal from employment.

Fiscal Misconduct Policy

REQUEST FOR ACTION: WHEREAS, federal and state statues define and prescribe penalties for actions that are criminal in nature; and WHEREAS, State of Georgia administrative rules and University policies set out guidelines for the behavior of University employees in the conduct of University business; and WHEREAS, the Board of Regents establishes Standards of Conduct expected of those who serve the University System of Georgia; and WHEREAS, it is essential to the effective operation of Albany State University that administrative officers and other employees of the University be independent and impartial in all actions involving the University, that public office not be used for private gain, and that there be complete public confidence in the integrity of the University; now, therefore, be it resolved that it is the policy of the Board of Regents that the University shall conduct its affairs so that no member of the University community shall derive private gain from his/her association with the University except as provided by explicit policies of the University; and WHEREAS, in recognition of the negative impact that fiscal misconduct may have on the financial resources and reputation of Albany State University, the University wishes to make an additional statement of policy regarding Fiscal Misconduct; NOW THEREFORE BE IT RESOLVED, that the attached policy on Fiscal Misconduct be approved within the Fiscal Affairs Policy and Procedure Manual.

I have read and acknowledge the above *Conflict of Interest and Fiscal Misconduct* policies.

| Full Name: | Signature: | Date: | |
|------------|----------------|-----------|--|



FRAUD, WASTE AND ABUSE ACKNOWLEDGEMENT STATEMENT

The University System of Georgia (USG) is committed to the highest standards of excellence, integrity, accountability and respect throughout all of its operations and institutions. Dedicated to its mission of transforming the System, changing lives, and strengthening the state, the USG both expects and requires its employees to report suspected malfeasance or wrongdoing on the part of any USG employee or member of the USG community. Additionally, USG institutions are required to report suspected malfeasance and other violations of federal and state law or BOR policy.

<u>All suspected or known employee malfeasance shall be reported</u>. Examples of employee malfeasance include but are not limited to embezzlement, misappropriation, alteration or falsification of documents, false claims or reimbursement requests, theft of any asset, inappropriate use of computer systems, violation of state or federal laws, violation of the <u>USG Ethics Policy</u> or any misuse of federal funds to include funds provided pursuant to the American Recovery and Reinvestment Act of 2009. Additionally, violations of policies and procedures often must be reported to the appropriate USG office.

WHO IS RESPONSIBLE FOR REPORTING INCIDENTS?

The USG Ethics Policy mandates reporting wrongdoing to the proper authority while protecting those who do report violations from retaliation.

- Individual USG employees should report suspected malfeasance on the part of a USG employee using any of the options outlined below.
- USG institutions are also required to report suspected employee malfeasance in a timely manner to the USG Office of Internal Audit. Additionally, incidents involving misuse of information technology assets or involving computer/network security breaches must be reported to the USG Office of Information Security.

HOW SHOULD INCIDENTS BE REPORTED?

- <u>Anonymously by phone or internet</u> using the USG Ethics and Compliance Hotline available online 24/7 at https://asurams.alertline.com/gcs/welcome or toll-free by calling 1-877-516-3415.
- Directly to any of the following Albany State University departments:

 Legal Affairs:
 229-430-0577
 Internal Audit:
 229-430-3494

 Human Resources:
 229-430-4623
 Dean of Students:
 229-903-3607

 Information Technology:
 229-430-0538
 Police Department:
 229-430-4711

Location of the Policy – I acknowledge that I have been informed that the USG Fraud, Waste and Abuse Reporting Policy information is available at: http://www.usg.edu/organizational_effectiveness/ethics_compliance/fraud_waste_and_abuse_reporting.

Notification of Future Changes – It is my responsibility to read and comply with the policies and procedures contained in the USG Fraud, Waste and Abuse Reporting Policy. I understand that the policies and procedures contained in it may change without prior notice.

Acknowledgement of Policy – I hereby acknowledge the USG Fraud, Waste and Abuse Reporting Policy for all Albany State University Community members which includes but is not limited to employees, students, and volunteers. I recognize and understand that Violations of USG and ASU policies may result in disciplinary action including dismissal or termination. I acknowledge that I will abide by the policy.

| Email Address: | | |
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| | | |
| Full Name: | Signature: | Date: |



DRUG-FREE CAMPUS ACKNOWLEDGEMENT STATEMENT

The University System of Georgia (USG) is committed to the highest ethical and professional standards of conduct in pursuit of its mission to create a more educated Georgia. Accomplishing this mission demands integrity, good judgment and dedication to public service from all members of the USG community. While the USG affirms each person's accountability for individual actions, it also recognizes that the shared mission and the shared enterprise of its institutions require a shared set of core values and ethical conduct to which each member of the USG community must be held accountable. Furthermore, the USG acknowledges that an organizational culture grounded in trust is essential to supporting these core values and ethical conduct.

The University System of Georgia promotes and requires a drug-free work place among its employees.

Albany State University (ASU) complies with and supports federal, state and local laws, and policies of the Board of Regents of the University System of Georgia, with respect to the unlawful manufacture, distribution, sale use or possession of marijuana, a controlled substance or other illegal or dangerous drugs on college campuses and elsewhere.

Albany State University <u>prohibits</u> the unlawful manufacture, distribution, sale, use or possession or use of illegal drugs by students and employees on the Albany State University campus or as any part of its activities, where on or off campus.

Location of the Policy – I acknowledge that I have been provided a copy of the ASU Drug-Free Campus Policy and informed that the Policy is available on the Human Resources Department website at https://www.asurams.edu/albany-state-university/administration/human-resources-home/.

Notification of Future Changes – It is my responsibility to read and comply with the policies and procedures contained in the ASU Drug-Free Campus Policy. I understand that the policies and procedures contained in it may change without prior notice.

Acknowledgement of Policy – I hereby acknowledge the ASU Drug-Free Campus Policy for all ASU Community members which includes but is not limited to employees, students, and volunteers. I recognize and understand that Violations of the USG and ASU policies may result in disciplinary action including dismissal or termination. I acknowledge that I will abide by the policy.

| Email Address: | | |
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| Full Name: | Signature: | Date: |
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SEXUAL HARASSMENT ACKNOWLEDGEMENT STATEMENT

The University System of Georgia (USG) is committed to the highest ethical and professional standards of conduct in pursuit of its mission to create a more educated Georgia. Accomplishing this mission demands integrity, good judgment and dedication to public service from all members of the USG community. While the USG affirms each person's accountability for individual actions, it also recognizes that the shared mission and the shared enterprise of its institutions require a shared set of core values and ethical conduct to which each member of the USG community must be held accountable. Furthermore, the USG acknowledges that an organizational culture grounded in trust is essential to supporting these core values and ethical conduct.

8.2.16 Sexual Harassment

Federal law provides that it shall be an unlawful discriminatory practice for any employer, because of the sex of any person, to discharge without cause, to refuse to hire, or otherwise discriminate against any person with respect to any matter directly or indirectly related to employment or academic standing. Harassment of an employee on the basis of sex violates this federal law.

Sexual harassment of USG employees or students is prohibited and shall subject the offender to dismissal or other sanctions after compliance with procedural due process requirements.

Unwelcome sexual advancements, requests for sexual favors, and other verbal or physical conduct of a sexual nature constitutes sexual harassment when:

- 1. Submission to such conduct is made explicitly or implicitly a term or condition of an individual's employment or academic standing; or,
- 2. Submission to or rejection of such conduct by an individual is used as a basis for employment or academic decisions affecting an individual; or,
- 3. Such conduct unreasonably interferes with an individual's work or academic performance or creates an intimidating, hostile or offensive working or academic environment.

(Last Modified on July 28, 2009) (BoR Minutes, 1980-81, p. 237-38)

Location of the Policy – I acknowledge that I have been informed that the USG Sexual Harassment Policy is available at http://www.usg.edu/policymanual/section8/C224/#p8.2.16_sexual_harassment.

Notification of Future Changes – It is my responsibility to read and comply with the policies and procedures contained in the USG Sexual Harassment Policy. I understand that the policies and procedures contained in it may change without prior notice.

Acknowledgement of Policy – I hereby acknowledge the USG Sexual Harassment Policy for all Albany State University Community members which includes but is not limited to employees, students, and volunteers. I recognize and understand that Violations of USG and ASU policies may result in disciplinary action including dismissal or termination. I acknowledge that I will abide by the policy.

| Email Address: | | |
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| | | |
| Full Name: | Signature: | Date: |



Right to Know Training

Albany State University has incorporated policies to ensure that all faculty/staff acquire training and information about hazardous chemicals in their work environment.

Click on (http://www.usg.edu/facilities/rtk-ghs/) for access to the "Right to Know Training." At the end of the training, please complete the online form and print the certificate of completion. Note: If you are not able to print the online certificate, please print out the page at the end of the training that states, 'Congratulations! You have completed the Right-to Know Online Training program."

| print the online certificate, please print out the page at the end of the training that states, 'Congratulations! You have completed the Right-to Know Online Training program." | | | | |
|--|---|---------|--|--|
| Either that page or the certificate MUS | Either that page or the certificate MUST be brought to Human Resources to verify your Right to Know Training. | | | |
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| Full Name: | _ Signature: | _ Date: | | |



USG ETHICS POLICY AND TRAINING ACKNOWLEDGEMENT STATEMENT

The University System of Georgia (USG) is committed to the highest ethical and professional standards of conduct in pursuit of its mission to create a more educated Georgia. Accomplishing this mission demands integrity, good judgment and dedication to public service from all members of the USG community. While the USG affirms each person's accountability for individual actions, it also recognizes that the shared mission and the shared enterprise of its institutions require a shared set of core values and ethical conduct to which each member of the USG community must be held accountable. Furthermore, the USG acknowledges that an organizational culture grounded in trust is essential to supporting these core values and ethical conduct.

The USG Ethics Policy applies to all members of the USG community. The USG community includes:

- 1. All members of the Board of Regents;
- 2. All individuals employed by, or acting on behalf of, the USG or one of the USG institutions, including volunteers, vendors, and contractors; and,
- 3. Members of the governing boards and employees of all cooperative organizations affiliated with the USG or one of its institutions.

Members of the Board of Regents and all individuals employed by the USG or one of its institutions in any capacity shall participate in USG Ethics Policy training, and shall certify compliance with the USG Ethics Policy on a periodic basis as provided in the USG Business Procedures Manual. The USG Ethics Policy governs only official conduct performed by or on behalf of the USG. Violations of the USG Ethics Policy may result in disciplinary action including dismissal or termination.

Training Requirement

All new employees <u>are required</u> to complete the USG Ethics Training course online in GeorgiaView. Completion of the training module <u>must</u> be completed within thirty (30) days of your start date.

If you experience difficulties accessing the USG Ethics Training course in GeorgiaView, please contact asuonline@asurams.edu or call 317-6241. If you are unable to access your email account or need password assistance please email helpdesk@asurams.edu, visit the ITS Help Desk (West campus: Building A or East campus: 1st floor JP Library) or call 229-430-4909.

Location of the Policy – I acknowledge that I have been informed that the USG Ethics Policy which is available on the USG website at: http://www.usg.edu/audit/compliance/ethics/.

Notification of Future Changes – It is my responsibility to read and comply with the policies and procedures contained in the USG Ethics Policy. I understand that the policies and procedures contained in it may change without prior notice.

Acknowledgement of Policy – I hereby acknowledge the USG Ethics Policy for all Albany State University (ASU) Community members which includes but is not limited to employees, students, and volunteers. I recognize and understand that Violations of USG and ASU policies may result in disciplinary action including dismissal or termination. I acknowledge that I will abide by the policy.

| Email Address: | | |
|----------------|------------|-------|
| | | |
| Full Name: | Signature: | Date: |



Jeanne Clery Act Crime Statistics and Report Training for Campus Security Authorities

The Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act is the landmark federal law, originally known as the Campus Security Act that requires colleges and universities across the United States to disclose information about crime on and around their campuses. The directly relates to participation in federal student financial aid programs, therefore it applies to most institutions of higher education both public and private. It is enforced by the U.S. Department of Education (DOE).

The "Clery Act" is named in memory of 19 year old Lehigh University freshman Jeanne Ann Clery who was raped and murdered while asleep in her residence hall room on April 5, 1986. Jeanne's parents discovered that students hadn't been told about 38 violent crimes on the campus in the three years before her murder. They joined with other campus crime victims and persuaded Congress to enact this law, which was originally known as the "Crime Awareness and Campus Security Act of 1990". The law was amended in 1992 to add a requirement that schools afford the victims of campus sexual assault certain basic rights, and was amended again in 1998 to expand the reporting requirements. The 1998 amendments also formally named the law in memory of Jeanne Clery.

What is a CSA?

CSA stands for "Campus Security Authority." CSA's are usually found in departments responsible for, but not limited to, student and campus activities, safety/security, discipline, housing, human resources, or judicial proceedings. This designation also includes any individual who has been specified by ASUPD to receive and report offenses. CSA's are responsible for reporting the number of crimes and incidents as described in the Clery Act that occur in their department to the ASU Police Department. These numbers are then included in the federally-mandated Clery Report, which is distributed every year by October 1st.

How do I know what to report?

You must report all allegations of crimes that you determine are made in good faith as a statistic that will be included in the Annual Security Report. Although law enforcement personnel may conclude after further investigation that some allegations are not substantiated by the facts or the law, you must report information that is a reported to you. Neither a formal police report nor an investigation is needed in order for a crime report to be included in these statistics. Your responsibility is to provide as accurate and complete a description as possible of what happened, including the location and whether the victim or alleged perpetrator was a student.

What crimes must I report?

| The Annual Security Report must include statis | stics on nine types of crime: | |
|---|--|-------------------------|
| Criminal Homicide - (A) Murder and Non- Neg | ligent Manslaughter, and (B) Negligent Man | slaughter; |
| Sex Offenses - (A) Forcible Sex Offenses and (E | 3) Non-Forcible Sex Offenses; | |
| Robbery; | | |
| Aggravated Assault; | | |
| Burglary; | | |
| Motor Vehicle Theft; | | |
| Arson; | | |
| Arrests and Disciplinary Referrals for liquor lav | v violations, drug law violations, and illegal v | veapons possession; and |
| Full Name: | Signature: | Date: |



Hate Crimes/bias.

Campus Police will ensure that crimes are properly classified.

Who must report campus crime?

In addition to the Police Department, Campus Security Authorities must report any crimes or incidents that may be crimes that are reported to them. You are a Campus Security Authority if you fit any the following descriptions: 1. Individuals with Campus Security responsibility staff assigned to security functions. 2. Designated Individuals — any individual or organization identified on the campus as Clery Coordinator to which crimes must be reported.

If you are a pastoral counselor or professional counselor, use your judgement. When appropriate, you may tell a person you are counseling about campus procedures for reporting crimes and confidentially for inclusion in the annual disclosure of crime statistics report even if the person does not want to press charges or participate in an investigation. Procedures for reporting crimes confidentially must be included in the Annual Safety and Fire Report.

What about timely warnings?

Immediate reporting of crimes allows the police to act in a timely fashion to investigate or otherwise address alleged crimes that may present a clear danger to the campus community. All you need do is report the crime by calling the police. The ASU Police Department is responsible for gathering the data for all crimes reported, and soliciting information from local police agencies concerning crimes reported to them.

A copy of the Annual Safety and Fire Report and Compliance Statement can be found on the ASU website at www.asurams.edu.

Clery Coordinator

Sgt. LaShawnda Ethridge Phone: (229) 894-0606

Lashawnda.ethridge@asurams.edu

Officials with Significant Responsibility for Student and Campus Activities campus officials who manage or otherwise oversee students and campus activities, for example, staff responsible for campus student housing, a student center, or student extracurricular activities; a director of athletics or a team coach; faculty advisors to a student groups; staff responsible for student discipline; campus judicial staff. Each campus must identify these individuals. The Clery Coordinator is responsible for ensuring that they are aware of their responsibilities and report periodically. If you are a Campus Security Authority you must report unless you are one of those whom the regulations define as exempt.

What about confidentiality?

The crime statistics included in the Annual Security Report do not include any information that would identify the victim or the person accused of committing the crime. Your report to the Clery Coordinator should not include personally identifying information. Make sure you advise the student that you will not release that information but that you are obligated to report the alleged criminal conduct.

| Full Name: | Signature: | Date | : |
|------------|------------|------|---|
|------------|------------|------|---|



CLEARANCE PROCESS AND EXIT INTERVIEW

PLEASE BE AWARE THAT IF YOU ARE TERMINATED OR IF YOU RESIGN FROM ALBANY STATE UNIVERSITY, YOU

| IMPORTANT: FINAL REVIEW OF ANY OUTSTANDING BALANCES OR ITS EQUIPMENT OWED MUST BE SETTELED BEFORE AN EMPLOYEE RECEIVES HIS/HER FINAL VACATION PAYOUT. IN ADDITION, THE EMPLOYEE'S LAST PAYCHECK IS MAILED TO THE ADDRESS INDICATED IN ADP. I UNDERSTAND THAT I AM REQUIRED TO COMPLETE THE EXIT/CLEARANCE PROCESS WITH THE OFFICE OF HUMAN RESOURCES IF I SEVER EMPLOYMENT WITH THE UNIVERSITY. Full Name: Signature: Date: | MUST COMPLETE THE EXIT INTERVIE | W PROCESS. | |
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New Health Insurance Marketplace Coverage Affordable Care Act

| Under the Affordable Care Act, the University System of Georgia, as your employer, is required to provide you this notice. |
|--|
| If you have questions about the Health Insurance Marketplace, please visit the Federal Health Insurance Marketplace website at https://www.healthcare.gov/families/ . The State of Georgia has opted not to operate a Health Insurance Marketplace and therefore, individuals in Georgia will use the federal Health Insurance Marketplace to enroll in coverage. |
| The University System of Georgia's Consumer Choice H.S.A. plan meets the Affordability Requirement under the Affordable Care Act. Therefore, in general, University System of Georgia employees who are eligible for health insurance will not be eligible for a tax credit in 2014 through the Health Insurance Marketplace (or Exchanges) created under the Affordable Care Act. |
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| I have read and understand that the University System of Georgia offer's the Consumer Choice H.S.A. plan, which meets the affordability requirement under the Affordable Care Act. I also understand that if I opt out of enrollment into this plan at the time of hire, that I will be ineligible for any tax credit through the Marketplace. I also forfeit my opportunity to enroll into a healthcare plan with Albany State University and must wait until Open Enrollment to elect coverage, where coverage will not take effect until January 1 st of the following year. |
| |

Full Name: _____ Signature: _____ Date: _____



OneUSG Connect Benefits

Benefits Enrollment

I acknowledge and understand that I am responsible for logging into the OneUSG Connect Benefits Portal within the OneUSG Connect Self-Service System and enrolling into my health benefits the first week of hire.

I understand that I will receive an e-mail giving me instructions on how to enroll into my health benefit plan from Human Resources.

I understand that my enrollment must take place within the first week of hire and I will notify Human Resources upon completion of my enrollment.

| Full | Namai | Cianaturo | Data | |
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| гин | Name: | Signature: | Date. | |
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Albany State University Compensated Outside Activities Approval Form

<u>Purpose</u>: This form should be completed by Albany State University (ASU) employees (faculty and staff) seeking approval to engage in compensated outside activities that relate to their expertise or responsibilities as an ASU employee. Such activities include consulting, teaching, speaking, and participating in business, professional, or service enterprises. Completed forms should be forwarded through your supervisor to the appropriate Dean, Department Head, Vice President, Associate Provost, or Director of your college, school, or unit for approval. An employee is not required to obtain written approval prior to engaging in compensated outside activities that do not relate to the employee's expertise or responsibility as an ASU employee.

Policy Requirement: In accordance with <u>Board of Regents Policy 8.2.18.2 Conflicts of Interest, Conflicts of Commitment, and Outside Activities</u>, each ASU employee with a work commitment of 30 or more hours per week must obtain written approval in advance from the Dean, Department Head, Vice President, Associate Provost, or Director of the employee's college, school, or unit of primary employment prior to engaging in compensated outside activities that relate to the employee's expertise or responsibilities as a ASU employee. Non-faculty employees must take annual leave when engaged in outside activities during work hours.

Please provide the information requested below:

| Name: | |
|--|---------------------|
| Title: | |
| School/College/Unit: | |
| Department: | |
| Email: | |
| 1. Information Regarding the Business or Organization that is the Subj (Organization): | ect of this Request |
| Name: | |
| Primary Contact: | - |
| Email: | |
| Phone: | |

| Ad | dress: | | | | | | |
|-----|--|--|--|--|--|--|--|
| 2. | Dates of Proposed Outside Work Note: All dates must fall within a single fiscal year ending on June 30. | | | | | | |
| Sta | arting Date (MM/DD/YYYY): | | | | | | |
| En | Note: If work is expected to extend beyond June 30, a separate Approval Form must be submitted for the next fiscal year. | | | | | | |
| To | tal # of hours: | | | | | | |
| To | tal # of months: | | | | | | |
| Av | g. # of hours per month: | | | | | | |
| 3. | What services or activities will you engage in on behalf of this organization? Check all that apply. | | | | | | |
| | Consulting Board of Directors Officer/Manager Instruction Other | | | | | | |
| | Provide details regarding any activities you will engage in on behalf of this organization: | | | | | | |
| | | | | | | | |
| | | | | | | | |
| 4. | What compensation will you receive from this organization for the proposed outside activities? Check all that apply: | | | | | | |
| | Salary Expense Reimbursements | | | | | | |
| | Honoraria Royalties Travel Costs Loans | | | | | | |
| | Travel Costs Loans Gifts or other things of Value Equity/Ownership Interest | | | | | | |
| | Equity, 6 therefore | | | | | | |

| | Missed University Work | | | | |
|---|---|--|--|--|--|
| | Identify any ASU classes, meeting, or responsibilities that will be missed because of thi proposed Outside Work, and what arrangements are proposed to cover any missed responsibilities: | | | | |
| | | | | | |
| | Is the organization a for-profit organization? Yes No | | | | |
| | Do you have any intellectual property that will be used or licensed to this organization Yes No No N/A If yes, please provide relevant details: | | | | |
| - | | | | | |
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| | To your knowledge, does the organization receive federal funding as it relates to the work you would be performing? Yes No | | | | |
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| "Vendor" means any person who s or services. | sells to or contracts with ASU for the provision of any goods |
|---|---|
| | rvise participate in or approve of the purchase of products zation in the role of a ASU employee? |
| If yes, please provide relevan | nt details: |
| | |
| 11. Do you, or members of your organization? Yes No | r immediate family, have any ownership in this |
| 12. Is the organization owned by Yes No | y a member of the institution's faculty or staff? |
| If yes, please provide details | s: |
| | |
| 13. In the past 12 months, have Check all that apply. | you received any of the following from this organization? |
| Salary | Loans |
| Honoraria Royalties | Travel Costs |
| Expense Reimburse | Gifts or other things of value ements |
| Provide details of anything | of value received: |
| | |
| 14. Will ASU students, interns, participate in the activities of Yes No | trainees, post-doctoral students or other ASU employees of this organization? N/A |

| . Will any ASU property or resources be used in the execution of your activities with the organization? Yes No If yes, please provide relevant details, including your plan to reimburse the institution | | | | | |
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