

1. Proposal Number: _____

2. Date Mailed: _____

3. Date Awarded: _____



**OFFICE OF RESEARCH & SPONSORED PROGRAMS
TRANSMITTAL FORM FOR PROPOSAL REVIEW AND APPROVAL**

PROPOSAL/CONTRACT SUMMARY INFORMATION:

Title: _____

Principal Investigator/Project Director: _____

Co-Investigator: _____

Funding Agency (Include complete address): _____

Agency Contact Person: _____ Phone: _____

Agency Deadline: _____ Postmarked Date: _____

Total Amount Requested: \$ _____

☐ Year 01 \$ _____☐ Year 02 \$ _____☐ Year 03 \$ _____☐ Year 04 \$ _____☐ Year 05 \$ _____

Indirect Costs: \$ _____ At _____ %

If this is a collaborative project, state the institution/agency and attach a separate sheet stating the conditions/terms, period covered, contact person and telephone number, total amount requested, share that ASU will receive, etc.

Institution/Agency: _____

Proposed Beginning Date: _____ Ending Date: _____

Project Category: ☐ Research
☐ Public Service
☐ Institution/ Academic Support
☐ Professional Development

INSTITUTIONAL COMMITMENTS:

1. ☐ Yes ☐ No Does the funding organization commit the institution to cost sharing? If yes, indicate percent of effort ____%. If you plan to meet cost-sharing commitments in any way other than contributed Time, indicate how:

2. ☐ Yes ☐ No Does the proposal require release time for faculty or other college personnel? If yes, complete the attached FRT (faculty release time) form.

3. ☐ Yes ☐ No Does this project involve more than one department and/organization? If yes, have you notified the chair/coordinator/director of each unit? I have notified:

4. ☐ Yes ☐ No Does the proposal require or utilize matching funds? If yes, provide specific information. Be sure to indicate the source of these matching funds.

5. ☐ Yes ☐ No Is space other than the investigator's current office and/or lab necessary for the completion of this project? If yes, has the space been identified and committed? ☐ Yes ☐ No

6. ☐ Yes ☐ No Does the proposal provide for the purchase of equipment in addition to that presently available?

7. ☐ Yes ☐ No Are there provisions for equipment maintenance? If yes, who will pay for this maintenance?

SAFETY AND PROTECTION:

8. ☐ Yes ☐ No Does the proposal involve research with any subject or substance which requires review by a designated individual, office or committee?

Category	Date of Review	Date to be Reviewed	Pending Review
Human Subjects * This includes use of survey forms for research purposes			
Animal Subjects			
Chemical Hazards			
Recombinant DNA			
Biological Hazards			

9. ☐ Yes ☐ No

Does the project involve research with radioactive chemicals?
If yes, state the name and half-life.

If yes, have you received certification on state and federal
levels?

State: ☐ Yes ☐ No

Federal ☐ Yes ☐ No

Signature of PI/PD

Signature of Co-PI/PD

SIGNATURES INDICATING APPROVAL

Department/Chair/Unit Director

Appropriate Dean

Sponsored Programs

Vice President for Academic Affairs

President



FACULTY RELEASE TIME REPORT

Sponsoring Agency: _____ Project Period: _____

Name and Rank	Department/ Unit	% Release of Time	Salary Requested	Fringe Benefits

*Approval for release time indicated above

_____ Immediate Supervisor	_____ Date
_____ Department Chairperson	_____ Date
_____ Appropriate Director	_____ Date
_____ Associate Vice President for Research & Sponsored Programs	_____ Date
_____ Vice President for Academic Affairs	_____ Date

TIME & EFFORT FORM

Date: _____

Name: _____

Department: _____

This information is needed for Federal and State Audit Requirements.

ACTIVITY (SPONSORED PROJECTS)		GRANT NUMBER	PERIOD (FROM ____ TO ____)	TOTAL NOT TO EXCEED 100%
1.				
2.				
3.				
4.				
Total				

Professional Development Fund Award:(if applicable) _____ %

Teaching: _____ %

Research: _____ %

Service: _____ %

OVERLOAD

NAME OF ACTIVITY	TIME INVOLVED	DUTIES

I certify that the information listed above is correct

Principle Investigator/Program Director

Date

Immediate Supervisor

Date

Vice President for Academic Affairs

Date

Associate Vice President for Research and Sponsored Programs

Date

Return this form to the Office of Research & Sponsored Programs to obtain additional signatures.